

MIAX Options Exchange Regulatory Circular 2023-41
MIAX Pearl Options Exchange Regulatory Circular 2023-35
MIAX Emerald Options Exchange Regulatory Circular 2023-36

DATE: August 22, 2023

TO: MIAX Exchange Members

FROM: MIAX Regulatory Department

RE: Change to the Market for Underlying Security Used for Openings on MIAX Options, MIAX Pearl Options and MIAX Emerald Options for Symbol FFWM Effective Tuesday, August 22, 2023

First Foundation Inc. (“FFWM”) has transferred primary listing from the NASDAQ Stock Market LLC to the New York Stock Exchange (“NYSE”) on Tuesday, August 22, 2023. As set forth in MIAX Options Rule 503(d), MIAX Pearl Rule 503(a)(3) and MIAX Emerald Rule 503(d), the exchanges shall use NYSE as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
FFWM	FIRST FOUNDATION, INC.	NYSE

Please direct questions to the Regulatory Department at Regulatory@mioxglobal.com or (609) 897-7309.