

MIAX Options Exchange Regulatory Circular 2024-01
MIAX Pearl Options Exchange Regulatory Circular 2024-01
MIAX Emerald Options Exchange Regulatory Circular 2024-01

DATE: January 4, 2024
TO: MIAX Exchange Members
FROM: Member Services Department
RE: Electronic Filing of Annual Reports

This notification is a reminder that, in connection with the Regulatory Services Agreement between the MIAX Exchange Group and FINRA, the filing of MIAX Options, MIAX Pearl, and MIAX Emerald Rule 803 Audits annual reports have been transitioned to the electronic filing platform available via FINRA's Firm Gateway. FINRA's Firm Gateway (<https://firms.finra.org>) is a portal that provides consolidated access to various FINRA regulatory systems.

Other confidential or financial member firm filings may be sent to:

MemberServices@miaxglobal.com

FINRA Firm Gateway technical questions may be directed to FINRA's technical support group at (301) 869-6699.

Further questions may be directed to the Exchange's Membership Department at MemberServices@miaxglobal.com or (609) 423-8433.