

MIAX Options Exchange Regulatory Circular 2024-06
MIAX Pearl Options Exchange Regulatory Circular 2024-06
MIAX Emerald Options Exchange Regulatory Circular 2024-06
MIAX Pearl Equities Exchange Regulatory Circular 2024-03

DATE: February 16, 2024

TO: MIAX Exchange Group Members

FROM: MIAX Exchange Group Regulatory Department

RE: Amendment to Rule 1308, Supervision of Accounts, to Extend the Temporary Remote Inspection Relief Through June 30, 2024, for Members to Complete Their Branch Office Inspections

This MIAX Regulatory Circular dated February 16, 2024 (MIAX Options Regulatory Circular 2024-06, MIAX Pearl Options Regulatory Circular 2024-06, MIAX Emerald Options Regulatory Circular 2024-06 and MIAX Pearl Equities Regulatory Circular 2024-03) updates and supersedes, in its entirety, [MIAX Options Regulatory Circular 2023-08](#), [MIAX Pearl Options Regulatory Circular 2023-06](#), [MIAX Emerald Regulatory Circular 2023-07](#) and [MIAX Pearl Equities Regulatory Circular 2023-04](#), all dated February 14, 2023.

This Regulatory Circular is to inform MIAX Exchange Group Members that a proposed rule change to amend all MIAX Exchanges' Rule 1308, Supervision of Accounts, has become effective, pursuant to a notice recently issued by the Securities and Exchange Commission.

The change to Rule 1308(d)(5), for all exchanges, provides that Members have the option to conduct remotely those inspections described in Rule 1308(d) through June 30, 2024.

MIAX Options' Rule 1308, as amended, is incorporated by reference into the MIAX Pearl and MIAX Emerald Rule Books, and thus are MIAX Pearl and MIAX Emerald Rules applicable to MIAX Pearl and MIAX Emerald Members. MIAX Pearl and MIAX Emerald Members are required to comply with MIAX Options Rule 1308, as amended, as though such rule was fully set forth in the MIAX Pearl and MIAX Emerald Rule Books.

MIAX Exchange Group encourages all Members to review these changes in their entirety, which can be found in the rule filing, [SR-MIAX-2024-10](#).

Questions may be directed to the Exchange's Membership Department at MemberServices@miaxglobal.com or (609) 423-8433.

Regulatory inquiries should be directed to Regulatory@miaxglobal.com or (609) 897-7309.