



DATE: September 14, 2020
TO: MIAX PEARL Equities Exchange Members
FROM: MIAX Regulatory Department
RE: Submission of Notification for Clearly Erroneous Execution or Appeal

Pursuant to MIAX PEARL Rule 2621(b), a Member that receives an execution on an order that was submitted erroneously to MIAX PEARL Equities (the “Exchange”) for its own or customer account may request that the Exchange review the transaction by submitting a notification to the Exchange at Regulatory@MIAXEquities.com.

Pursuant to MIAX PEARL Rule 2621(e)(2)(C), if an affected party appeals an Official decision under this Rule within the time permitted, the affected party must submit a notification to the Exchange at Regulatory@MIAXEquities.com.

Regulatory questions may be directed to Regulatory@MIAXEquities.com or (609) 897-7309.