



DATE: September 28, 2020
TO: MIAX Exchange Members
FROM: MIAX Regulatory Department
RE: Update to Order Routing Field Mapping for CAT and OATS Reporting

MIAX PEARL Equities has updated the 'session' CAT Report Field in CAT Alert 2018-004, Order Routing Field Mapping between CAT and the Exchanges, and the 'Connection ID' in the FINRA Guidance on OATS Exchange Route Matching document. MIAX has removed the dash ('-')¹ for binary orders as described below.

MIAX PEARL Equities Exchange

Binary Order (MEO Interface)

- Username + Computer ID

The updated FINRA Guidance on OATS Exchange Route Matching document can be found [here](#).

The change will be effective on September 29, 2020.

Note: the 'session' CAT Report Field for MIAX Options, MIAX PEARL Options and MIAX Emerald remains unchanged.

For questions regarding route mapping please contact Trading Operations at TradingOperations@MIAXOptions.com or (609) 897-7302.

Please direct questions to the Regulatory Department at Regulatory@MIAXOptions.com or (609) 897-7309.

¹ i.e. Username is AAAAA, Computer ID is ZZZZZZ – new format is AAAAAZZZZZZ