

MIAX Pearl Options Exchange Regulatory Circular 2024-03

DATE: January 23, 2024

TO: MIAX Pearl Options Members

FROM: MIAX Regulatory Department

RE: Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Effective Wednesday, January 24, 2024

Options on the following symbols will be available for trading on MIAX Pearl Options beginning Wednesday, January 24, 2024. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ANVS	ANNOVIS BIO, INC.	NYSE
BRDG	BRIDGE INVESTMENT GROUP HOLDINGS, INC.	NYSE
CGBD	CARLYLE SECURED LENDING, INC.	NASDAQ
CHMI	CHERRY HILL MORTGAGE INVESTMENT CORP.	NYSE
CIGI	COLLIERS INTERNATIONAL GROUP, INC.	NASDAQ
CMTG	CLAROS MORTGAGE TRUST, INC.	NYSE
CONY	YIELDMAX COIN OPTION INCOME STRATEGY ETF	NYSE ARCA
DAPP	VANECK DIGITAL TRANSFORMATION ETF	NASDAQ
DSKE	DASEKE, INC.	NASDAQ
EWTX	EDGEWISE THERAPEUTICS, INC.	NASDAQ
FCFS	FIRSTCASH HOLDINGS, INC.	NASDAQ
FLEX	FLEX LTD.	NASDAQ
FUSN	FUSION PHARMACEUTICALS, INC.	NASDAQ
FWONK	LIBERTY MEDIA CORP SERIES C LIBERTY FORMULA ONE	NASDAQ
GCT	GIGACLOUD TECHNOLOGY, INC. CLASS A	NASDAQ
GRCL	GRACELL BIOTECHNOLOGIES INC. ADR CLASS A	NASDAQ
IE	IVANHOE ELECTRIC, INC.	AMEX
IMCR	IMMUNOCORE HOLDINGS PLC	NASDAQ

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
KNF	KNIFE RIVER CORP.	NYSE
KROS	KEROS THERAPEUTICS, INC.	NASDAQ
LIFW	MSP RECOVERY, INC.	NASDAQ
MAMA	MAMA'S CREATIONS, INC.	NASDAQ
OABI	OMNIAB, INC.	NASDAQ
OMGA	OMEGA THERAPEUTICS, INC.	NASDAQ
PFLT	PENNANTPARK FLOATING RATE CAPITAL LTD.	NYSE
POWL	POWELL INDUSTRIES, INC.	NASDAQ
PTN	PALATIN TECHNOLOGIES, INC.	AMEX
QQQY	DEFIANCE NASDAQ 100 ENHANCED OPTIONS INCOME ETF	NASDAQ
SDRL	SEADRILL LIMITED	NYSE
SHOT	SAFETY SHOT, INC.	NASDAQ
SLNO	SOLENO THERAPEUTICS, INC.	NASDAQ
SN	SHARKNINJA, INC.	NYSE
STTK	SHATTUCK LABS, INC.	NASDAQ
SVOL	SIMPLITY ETF VOL PREM	NYSE ARCA
TCPC	BLACKROCK TCP CAPITAL CORP.	NASDAQ
TSLZ	T-REX 2X INVERSE TESLA DAILY TARGET ETF	NASDAQ
WGMI	VALKYRIE BITCOIN MINERS ETF	NASDAQ

Please direct questions to the Regulatory Department at Regulatory@miaxglobal.com or (609) 897-7309.