



**MINNEAPOLIS GRAIN EXCHANGE, INC.**

**EXCHANGE DISCIPLINARY ACTION**

**FILE:** 18-l-13

**MEMBER:** ADM Investor Services, Inc.

**RULES,  
REGULATIONS &  
RESOLUTIONS:** **MGEX Regulations 1227.00. Trading Session Submissions and 2100.00. Requirements for Clearing, and MGEX Resolution 2101.00.C.**

**FINDINGS:** The MGEX Department of Audits and Investigations determined ADM Investor Services, Inc. apparently violated the aforementioned MGEX Regulations & Resolution by failing to submit an accurate July Spring Wheat long positions report to the Clearing House.

**SUMMARY  
ACTION:** Warning Letter

**CUSTOMER  
HARM:** No

**BARRABLE:** No

**PRODUCT:** HRSW

**FINAL DATE:** 7/26/2018

**EFFECTIVE DATE:** 7/26/2018