



**MINNEAPOLIS GRAIN EXCHANGE, INC.**

**EXCHANGE DISCIPLINARY ACTION**

**FILE:** 18-1-28

**MEMBER:** Joe Tadros, Broker # 610

**REGULATIONS:** **MGEX Regulations 1232.00. Recordkeeping For Open Outcry Activity and 2058.00. Collection Of Trading Documents**

**FINDINGS:** The MGEX Department of Audits and Investigations determined Joe Tadros apparently violated the aforementioned MGEX Regulations for failure to submit filled orders to the respective clearing members within fifteen minutes of the end of the designated interval.

**SUMMARY ACTION:** Warning Letter

**CUSTOMER HARM:** No

**BARRABLE:** No

**PRODUCT:** HRSW Options

**FINAL DATE:** 1/8/2019

**EFFECTIVE DATE:** 1/8/2019