

## MIAX Pearl Options Exchange Regulatory Circular 2023-58

**DATE:** November 21, 2023

**TO:** MIAX Pearl Options Members

**FROM:** MIAX Regulatory Department

**RE:** Market for Underlying Security Used for Openings on MIAX Pearl Options for Newly Listed Symbols Wednesday, November 22, 2023.

The following symbols will start trading on MIAX Pearl Options beginning Wednesday, November 22, 2023. As set forth in MIAX Pearl Rule 503(a)(3), MIAX Pearl Options shall use the exchange identified below as the “market for the underlying security” for the purpose of Openings on the Exchange.

SECURITY SYMBOL	SECURITY NAME	EXCHANGE FOR OPENING
ABAT	AMERICAN BATTERY TECHNOLOGY CO.	NASDAQ
ACXP	ACURX PHARMACEUTICALS LLC	NASDAQ
AMAM	AMBRX BIOPHARMA INC.	NASDAQ
BLZE	BACKBLAZE, INC.	NASDAQ
IRIX	IRIDEX CORPORATION	NASDAQ
KLG	WK KELLOGG CO	NYSE
LAAC	LITHIUM AMERICAS ARGENTINA CORP.	NYSE
LTPZ	PIMCO 15+ YEAR U.S. TIPS INDEX EXCHANGE-TRADED FND	NYSE ARCA
MODV	MODIVCARE INC.	NASDAQ
MOR	MORPHOSYS AG SPONSORED ADR	NASDAQ
SPSC	SPS COMMERCE, INC.	NASDAQ
TPST	TEMPEST THERAPEUTICS INC	NASDAQ
TUA	SIMPLIFY SHORT TERM TREASURY FUTURES STRATEGY ETF	NYSE ARCA

Please direct questions to the Regulatory Department at [Regulatory@mioxglobal.com](mailto:Regulatory@mioxglobal.com) or (609) 897-7309.