



MINNEAPOLIS GRAIN EXCHANGE, INC.

EXCHANGE DISCIPLINARY ACTION

FILE: 19-I-13

MEMBER: Term Commodities Inc.

RULES & REGULATIONS: **MGEX Rule 2.2.6. Minimum Financial And Reporting Requirements For Clearing Members and MGEX Regulation 1228.00. Financial Statements**

FINDINGS: The MGEX Department of Audits and Investigations determined Term Commodities Inc. apparently violated the aforementioned MGEX Rule and Regulation by submitting the monthly Form 1-FR financial statements one day after the deadline.

SUMMARY ACTION: Warning Letter and \$200.00 Fine

CUSTOMER HARM: No

BARRABLE: No

PRODUCT: Not Applicable

FINAL DATE: July 11, 2019

EFFECTIVE DATE: July 11, 2019