



**MINNEAPOLIS GRAIN EXCHANGE, INC.**

**EXCHANGE DISCIPLINARY ACTION**

**FILE:** 20-I-06

**MEMBER:** Gavilon Grain, LLC

**RULES & REGULATIONS:** **MGEX Rule 901.01. Information And Access To Records And Reports By The Minneapolis Grain Exchange and MGEX Regulation 1231.00. Stocks Reporting Facilities.**

**FINDINGS:** The MGEX Department of Audits and Investigations determined Gavilon Grain, LLC apparently violated the aforementioned MGEX Rule and Regulation for failure to submit a Daily Stocks Report to the Exchange by the 1 p.m. central time deadline.

**SUMMARY ACTION:** Warning Letter

**CUSTOMER HARM:** No

**BARRABLE:** No

**PRODUCT:** Hard Red Spring Wheat

**FINAL DATE:** February 6, 2020

**EFFECTIVE DATE:** February 6, 2020