



**MINNEAPOLIS GRAIN EXCHANGE, LLC**

**EXCHANGE DISCIPLINARY ACTION**

**FILE:** 20-1-24

**CLEARING MEMBER:** ADM Investor Services, Inc.

**RULES & RESOLUTION:** **MGEX Rules 2.3.5. Reporting Requirements And Disciplinary Action and 1227.00. Trading Session Submissions, and MGEX Resolution 2101.00.C.**

**FINDINGS:** The MGEX Department of Audits and Investigations determined ADM Investor Services, Inc. apparently violated the aforementioned MGEX Rules & Resolution by failing to submit an accurate July Spring Wheat long positions report to the Clearing House.

**SUMMARY ACTION:** \$2,500

**CUSTOMER HARM:** No

**BARRABLE:** No

**PRODUCT:** HRSW

**FINAL DATE:** 2/10/2021

**EFFECTIVE DATE:** 2/10/2021