

CLEARING MEMBER RESTRICTION FORM

Clearing Member: _____

Date: _____

In accordance with the rules of Miami International Securities Exchange, LLC (“MIAX”), MIAX PEARL, LLC (“MIAX PEARL”), and/or MIAX Emerald, LLC (“MIAX Emerald”) (individually or collectively referred to herein as the “Exchange” as applicable), the Clearing Member listed above authorizes the Exchange to restrict The Options Clearing Corporation (“OCC”) number(s) listed below requiring prior authorization for use as a Give-Up to each Exchange. The Clearing Member must indicate below the OCC number(s) to be restricted for each Exchange.

NOTE: Once an OCC number is marked as restricted, no Member will be able to use that restricted OCC number unless they have either: (1) an executed clearing guarantee on file with Membership with that Clearing Member; or (2) the Member is authorized by the Clearing Member pursuant to the applicable Exchange rule.

Instructions: To authorize or revoke a Member’s use of a restricted OCC number, please enter the applicable information below and submit to membership@miaxoptions.com. List the restricted OCC number in column 1 and provide, if applicable, each Member that is authorized or restricted on each OCC number in column 2. Check whether authorized or revoked for all applicable Exchanges in columns 5 through 7. If necessary, please attach additional sheets.

Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
Restricted OCC Number	Member	Authorized	Revoked	MIAX Options	MIAX PEARL	MIAX Emerald
1						
Restricted OCC Number	Member	Authorized	Revoked	MIAX Options	MIAX PEARL	MIAX Emerald
2						

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Column 1	Column 2	Column 3	Column 4	Column 5	Column 6	Column 7
Restricted OCC Number	Member	Authorized	Revoked	MIAX Options	MIAX PEARL	MIAX Emerald
3						
Restricted OCC Number	Member	Authorized	Revoked	MIAX Options	MIAX PEARL	MIAX Emerald
4						
Restricted OCC Number	Member	Authorized	Revoked	MIAX Options	MIAX PEARL	MIAX Emerald
5						

By executing this Clearing Member Restriction Form, the Clearing Member grants the Exchange permission to publish the Clearing Member's restricted OCC number(s) on the Exchange website for purposes of providing notice to other Exchange Members that the Clearing Member's OCC number(s) will not be available for Give-Up.

Signature: _____ Print Name: _____

Title: _____ Date: _____

Clearing Member Contact Person
(to be provided to Members seeking authorization): _____

Email: _____ Phone: _____