



VOLUNTARY/MUTUAL AGREEMENT TRADE NULLIFICATION OR ADJUSTMENT

MIAX Members seeking a Voluntary/Mutual Agreement Trade Nullification or Adjustment must provide the following information to the Regulatory Division at Regulatory@MIAXOptions.com.

This form must be submitted prior to 8:30 a.m. ET on the first trading day following the execution.

Select Exchange (required):	MIAX Options	MIAX PEARL
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- 1. Date: _____
- 2. Firm Name: _____
- 3. MPID(s): _____
- 4. Contact Name: _____
- 5. Phone Number: _____
- 6. E-mail: _____
- 7. Type of Review: Voluntary Nullification Voluntary Price Adjustment
 Preferred Price: _____
- 8. Reason: _____
- 9. Time of Execution (ET): _____
- 10. Underlying(s): _____
- 11. Expiration: _____
- 12. Series: _____
- 13. Call or Put: Call Put 14. Complex Trade: Yes No
- 15. Trade Size: _____
- 16. Price: _____
- 17. Stock Tied: Price: _____ Volume: _____
- 18. Additional Notes:

For multiple errors, attach an Excel spreadsheet containing the information in items #7 - #18 above.

CLICK TO ATTACH TO E-MAIL

If the e-mail attachment button does not work, please save the completed form and attach it to an e-mail to Regulatory@MIAXOptions.com.

MIAX Regulatory Department: 609-897-7309