miax

## MIAX Pearl Equities Exchange

# Member Directory

| Name | Membership Type |
|---|---|
| ABN AMRO CLEARING CHICAGO LLC | Equities Clearing |
| ARCHIPELAGO SECURITIES LLC | Equities Clearing |
| ATM EXECUTION LLC | Equities Order Entry |
| BARCLAYS CAPITAL INC. | Equities Order Entry & Clearing |
| BNP PARIBAS SECURITIES CORP. | Equities Order Entry & Clearing |
| BOFA SECURITIES, INC. | Equities Market Maker, Order Entry & Clearing |
| CITADEL SECURITIES LLC | Equities Market Maker, Order Entry & Clearing |
| CITIGROUP GLOBAL MARKETS INC. | Equities Order Entry & Clearing |
| COWEN AND COMPANY, LLC | Equities Order Entry & Clearing |
| CREDIT SUISSE SECURITIES (USA) LLC | Equities Order Entry & Clearing |
| DEUTSCHE BANK SECURITIES INC. | Equities Order Entry & Clearing |
| DRW SECURITIES, L.L.C. | Equities Order Entry |
| ELECTRONIC TRANSACTION CLEARING, INC. | Equities Clearing |

© 2020 Miami International Holdings, Inc. and its subsidiaries. All Rights Reserved. | Modified October 2021

| | |
|---|---|
| **FIS BROKERAGE & SECURITIES SERVICES LLC** | Equities Order Entry |
| **G1 EXECUTION SERVICES, LLC** | Equities Market Member |
| **GLOBAL EXECUTION BROKERS, LP** | Equities Order Entry |
| **GOLDMAN SACHS & CO. LLC** | Equities Order Entry & Clearing |
| **HRT FINANCIAL LP** | Equities Order Entry & Clearing |
| **IEX SERVICES LLC** | Equities Order Entry |
| **IMC-CHICAGO, LLC D/B/A IMC FINANCIAL MARKETS** | Equities Order Entry |
| **INSTINET, LLC** | Equities Order Entry & Clearing |
| **INTERACTIVE BROKERS LLC** | Equities Order Entry & Clearing |
| **J.P. MORGAN SECURITIES LLC** | Equities Market Maker, Order Entry & Clearing |
| **JANE STREET CAPITAL, LLC** | Equities Market Maker & Order Entry |
| **JEFFERIES LLC** | Equities Order Entry & Clearing |
| **JUMP TRADING, LLC** | Equities Order Entry |
| **LATOUR TRADING LLC** | Equities Order Entry |
| **MEMX EXECUTION SERVICES LLC** | Equities Order Entry |
| **MERRILL LYNCH PROFESSIONAL CLEARING CORP.** | Equities Clearing |

© 2020 Miami International Holdings, Inc. and its subsidiaries. All Rights Reserved. | Modified October 2021

| | |
|---|---|
| **MORGAN STANLEY & CO. LLC** | Equities Order Entry & Clearing |
| **NASDAQ EXECUTION SERVICES LLC** | Equities Order Entry & Clearing |
| **OLD MISSION CAPITAL LLC** | Equities Order Entry |
| **PERSHING LLC** | Equities Order Entry & Clearing |
| **PNT FINANCIAL LLC** | Equities Order Entry |
| **PUNDION LLC** | Equities Market Maker & Order Entry |
| **RBC CAPITAL MARKETS LLC** | Equities Market Maker, Order Entry & Clearing |
| **SANFORD C. BERNSTEIN & CO., LLC** | Equities Order Entry |
| **SIMPLEX TRADING, LLC** | Equities Market Maker |
| **SUSQUEHANNA SECURITIES** | Equities Order Entry |
| **TRC MARKETS LLC** | Equities Order Entry |
| **UBS SECURITIES LLC** | Equities Order Entry & Clearing |
| **VIRTU AMERICAS LLC** | Equities Market Maker & Order Entry |
| **WELLS FARGO SECURITIES, LLC** | Equities Order Entry & Clearing |
| **WOLVERINE EXECUTION SERVICES, LLC** | Equities Order Entry |

**External links are provided by third parties as a convenience and for informational purposes only, and do not constitute an endorsement or approval by MIH or MIAX of the linked websites or any of the products,**

© 2020 Miami International Holdings, Inc. and its subsidiaries. All Rights Reserved. | Modified October 2021

**services or opinions contained therein. MIH and MIAX bear no responsibility for the accuracy, legality or content of the external site or for that of subsequent links.**

© 2020 Miami International Holdings, Inc. and its subsidiaries. All Rights Reserved. | Modified October 2021